



Atty. Dkt. No. 057898-0102

THE UNITED STATES PATENT AND TRADEMARK OFFICE

2164  
#104  
91702

Applicants: Danne L. Buchanan et al.

Title: METHOD AND SYSTEM FOR PROCESSING  
FINANCIAL INSTRUMENT DEPOSITS  
PHYSICALLY REMOTE FROM A FINANCIAL  
INSTITUTION

Appl. No.: 09/560,779

Filing Date: 04/28/2000

Examiner: Nga B. Nguyen

Art Unit: 2164

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GROUP 3600

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

09/09/2002 MGBREM1 00000013 09560779

**TIMING OF THE DISCLOSURE**

01 FC:126

180.00 OP

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**FEE**

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date September 6, 2002

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22428

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SEP 06 2002

PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: September 6, 2002

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/560,779
Filing Date	04/28/2000
First Named Inventor	Danne L. BUCHANAN et al.
Group Art Unit	2164
Examiner Name	Nga B. Nguyen
Attorney Docket Number	057898-0102

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	4,027,142		PAUP ET AL.	05/31/1977	
	A2	4,264,808		OWENS ET AL.	04/28/1981	
	A3	4,650,978		HUDSON ET AL.	03/17/1987	
	A4	4,730,767		GENDRON	03/15/1988	
	A5	4,731,524		BROOKS	03/15/1988	
	A6	4,948,174		THOMSON ET AL.	08/14/1990	
	A7	4,974,878		JOSEPHSON	12/04/1990	
	A8	5,121,945		THOMSON ET AL.	06/16/1992	
	A9	5,237,159		STEPHENS ET AL.	08/17/1993	
	A10	5,265,007		BARNHARD, JR. ET AL.	11/23/1993	
	A11	5,412,190		JOSEPHSON ET AL.	05/02/1995	
	A12	5,532,464		JOSEPHSON ET AL.	07/02/1996	
	A13	5,689,579		JOSEPHSON	11/18/1997	
	A14	5,783,808		JOSEPHSON	07/21/1998	
	A15	5,784,610		COPELAND, III ET AL.	07/21/1998	
	A16	5,793,302		STAMBLER	08/11/1998	
	A17	5,802,498		COMESANAS	09/01/1998	
	A18	5,819,236		JOSEPHSON	10/06/1998	
	A19	5,864,871		KITAIN ET AL.	01/26/1999	
	A20	5,893,078		PAULSON	04/06/1999	
	A21	5,895,453		COOK	04/20/1999	
	A22	5,904,844		STONE	05/18/1999	
	A23	5,917,965		CAHILL ET AL.	06/29/1999	
	A24	5,936,541		STAMBLER	08/10/1999	
	A25	5,963,659		CAHILL ET AL.	10/05/1999	
	A26	5,974,148		STAMBLER	10/26/1999	
	A27	6,019,282		THOMPSON ET AL.	02/01/2000	
	A28	6,029,172		JORNA ET AL.	02/22/2000	
	A29	6,181,837	B1	CAHILL ET AL.	01/30/2001	
	A30	5,619,524		LING ET AL.	04/08/1997	

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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.